

- As one of the world's leading financial institutions, HSBC is committed to adopting the highest financial crime control standards globally. HSBC Bank Bermuda Limited has developed enhanced customer due diligence and anti-money laundering policies concerning tax transparency consistent with these standards. As such, we require that our customers and the beneficial owners of assets held by our customers provide the information in this form and to certify their tax compliance. The data we collect in this form will be used in the ways previously outlined to you in the Bank's Standard Terms and Conditions.
- We need the information sought in this form to remain compliant with applicable regulatory obligations and our internal policies on anti-money laundering and tax evasion. You are therefore required to complete all sections of this form.

Part 1 - Identification of Account Holder

A. Name of Account Holder (if more than one account is being declared, please complete Appendix 1 with details of all accounts)

Name of Entity _____
Account Number _____
Country of Incorporation _____

Part 2 - Identification of Ultimate Beneficial Owner (UBO)

A. Name of UBO

Family Name or Surname _____
Title _____
First or Given Name _____
Middle Name(s) _____

B. Current Residence Address

Line 1 (e.g. house/Apt/Suite) _____
Line 2 (Town/City/Country/State) _____
Postal Code/Zip _____
Country _____

C. Current Mailing Address (if different from above)

Line 1 (e.g. house/Apt/Suite) _____
Line 2 (Town/City/Country/State) _____
Postal Code/Zip _____
Country _____

D. Date of Birth

(MM/DD/YY) _____

E. Place of Birth

Town or City of Birth _____

Country of Birth _____

TIN _____

Part 3 - Confirmation of Fulfilment of Tax Obligations

As the ultimate beneficial owner of the entity listed in Part 1 having accounts with HSBC Bank Bermuda Limited, I acknowledge that I am solely responsible for understanding and complying with my tax obligations (including but not limited to, tax payment and filing of returns or other required documentation relating to the payment of all relevant taxes) in all jurisdictions in which those obligations arise and relating to the opening and use of account(s) and/or services provided by members of the HSBC Group and/or my beneficial ownership interest in the entity listed in Part 1 and confirm that I have and will continue to make all required reports to applicable tax authorities and I confirm that whenever required by applicable law and regulation the entity listed in Part 1 has complied with tax obligations in all jurisdictions in which those obligations arise and relating to the opening and use of account(s) and/or services provided by members of the HSBC Group.

A. Tax Advisor

I confirm I have a tax/legal advisor who assists me in the completion of my tax filing obligations, including those in relation to my beneficial ownership interest in the entity listed in Part 1

Yes (details below) No

Tax/Legal adviser details:

Name of the individual or main contact at the firm I consider to be my primary advisor (First & Last name):

Name of the firm I consider to be my primary advisor (Trading name):

Professional address:

Commercial Banking

Tax Transparency Certificate



Line 1 (e.g. house/Apt/Suite) _____

Line 2 (Town/City/Country/State) _____

Postal Code/Zip _____

Country _____

Part 4 - Declarations and Signature

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with HSBC Bank Bermuda Limited setting out how HSBC Bank Bermuda Limited may use and share the information.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

Signature: _____

Print Name: _____

Date: _____

Appendix 1 - Detail of Additional Accounts

Please fill-in the requested detail below for all the accounts in which the individual named in Part 2 of this form is UBO.

Name of Account Holder

Name of Entity _____

Account Number _____

Country of Incorporation _____

Name of Account Holder

Name of Entity _____

Account Number _____

Country of Incorporation _____

Name of Account Holder

Name of Entity _____

Account Number _____

Country of Incorporation _____

Name of Account Holder

Name of Entity _____

Account Number _____

Country of Incorporation _____

Name of Account Holder

Name of Entity _____

Account Number _____

Country of Incorporation _____

Appendix 2 - Definitions

“Account Holder” The term “Account Holder” means the person listed or identified as the holder of a Financial Account. A person, other than a financial institution, holding a Financial Account for the benefit of another person as an agent, a custodian, a nominee, a signatory, an investment advisor, an intermediary, or as a legal guardian, is not treated as the Account Holder. In these circumstances that other person is the Account Holder. For example in the case of a parent/child relationship where the parent is acting as a legal guardian, the child is regarded as the Account Holder. With respect to a jointly held account, each joint holder is treated as an Account Holder.

“Entity” The term “Entity” means a legal person or a legal arrangement, such as a corporation, organisation, partnership, trust or foundation.

“Financial Account” A Financial Account is an account maintained by a financial institution and includes: depository accounts, custodial accounts, cash value insurance contracts and annuity contracts.

“TIN” (including “functional equivalent”) The term “TIN” means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction.

“Ultimate Beneficial Owner” (or UBO) The term Ultimate Beneficial Owner means the Individual/natural person who ultimately owns a legal entity and/or the person on whose behalf a transaction is being conducted (at least 10% ultimate control/ownership required). The UBO is any natural person or government body that owns, has the right to vote, or has the power to sell or direct the sale of a class of the business’ voting securities of an Intermediate Owner.